International Academy of Pathology Hong Kong Division 2022 Scientific Congress

Novartis Sponsored Lecture: Precision Medicine in Breast Cancer: Where are we now?

Sunday December 11th

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UNOVARTIS | Reimagining Medicine

Agenda

Sunday December 11th 13:15-14:15 HKT

	Торіс	Speaker
13:15 13:20	Opening	Dr. Polly Cheung Founder of Hong Kong Breast Cancer Foundation, HK
3:20 3:35	Pathologist's Perspective: Implications of Mutation Testing in Breast Cancer	Prof. Ian Ellis University of Nottingham, UK
13:35 13:50	Clinician's Perspective: Importance of Genetic Testing and Targeted Therapy in BreastCancer	Dr. Roland Leung Queen Mary Hospital, HK
13:50 14:10	Precision Medicine in Breast Cancer: Future Directions	Moderator: Dr. Polly Cheung Founder of Hong Kong Breast Cancer Foundation, HK
		Panelists: Prof. Ian Ellis University of Nottingham, UK
		Dr. Roland Leung Queen Mary Hospital, HK
14:10	Closing	Dr. Polly Cheung Founder of Hong Kong

ining Medicine

Prof lan O. Ellis, Professor of Cancer Pathology, Faculty of Medicine & Health Sciences, University of Nottingham UK



- Named among the world's top 20 most influential experts on breast cancer
- Involved in the practice of pathology for over thirty years and has an international reputation in clinical and translational research in breast disease, particularly classification of breast cancer and evaluation of prognostic factors
- Author of over 600 peer reviewed scientific publications, chapters in medical textbooks and specialist textbooks in pathology and an experienced lecturer
- Founding member of the Faculty of the Nottingham International Breast Education Centre
- Fellow and Past Specialty Advisor of The Royal College of Pathologists, Past President of the Pathological Society of Great Britain and Ireland, Past Chairman of the UK National Co-ordinating Committee for Breast Pathology, Past President of the International Society of Breast Pathology, Past Councilor of The European Society of Mastology, Steering Committee Member of The European Group for Breast Screening Pathology and Past Chairman of the Breast Pathology Working Group of the European Society of Pathology
- Acted as advisor to the DoH, UICC, WHO and IARC
- Founder of PathLore and Medical Director of Source Bioscience





Ian Ellis



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Cancer is a Disease of the Genome Caused by its Alterations



An effective and efficient tool is required to interrogate the alterations that cause cancer



Molecular Alterations in Cancer



Insertions and deletions (indels), e.g., EGFR exon 19



Single-nucleotide polymorphisms (SNPs), e.g., BRAF V600E



Biomarker development is accelerating





73% of medicines in oncology pipelines have associated biomarkers

Available targeted medicines – Solid tumours



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Precision medicine is enabled by molecular profiling

Traditional therapies Some patients benefit, some patients do not benefit, and some patients

experience adverse effects.

Precision medicine



Each patient is given an individualized treatment.



Precision oncology helps improve patient outcomes

Medium overall survival

(months, 95% Cl)





NGS is a Foundation of Precision Oncology Clinical Research

NGS can detect many different types of biomarkers simultaneously from a single sample





Pan-Cancer Clinical Research Application of OPA



HKBCF x Novartis: Gene Testing Financial Assistance Program



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UK 100,000 Genomes Project





U NOVAROLIS | Reimagining Medicine



UK Genomic Medicine Service





UK NHS Genomic Medicine Service Regions

Central and South GLH/GMSA East GLH/GMSA North East and Yorkshire GLH/GMSA North Thames GLH/GMSA North West GLH/GMSA South East GLH/GMSA South West GLH/GMSA

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SOLID CANCER REQUEST FORM (31032022) CU-SR-FRM-35 Rev 2

SOLID CANCER GENOMIC TEST ORDER FORM



PAGE 1 of 3

PATIENT DETAILS REFERENCE		REFERENCE INFORMATIO	N
NHS NO.		SUBMITTER HOSPITAL	
HOSPITAL NO.		CLINICIAN NAME	
SURNAME		DEPARTMENT	
FORENAME		CONTACT EMAIL	Secure NHS.net
ETHNICITY		CONTACT PHONE	
SEX	MALE FEMALE OTHER	REQUEST DATE	

SPECIMEN INFORMATION						
SPECIMEN NO		SPECIMEN TYPE				
BLOCK NO.		TISSUE SITE				
DIAGNOSIS		COLLECTION DATE				
REASON FOR REFFERAL						
% TUMOUR CELLS: CIRCLED DOTTED WHOLE SLIDE < 10% 10-30% 30-50% 50-70% >70						



NGS SEQUENCING (tick required box)						
CLINICAL INDICATION	TEST CODE	GENES SCREENED (Bold: TSO500 large gene panel only)	ASSAY			
Colorectal Cancer	M1.1	BRAF, KRAS, NRAS, MLH1, MSH2, MSH6 PMS2, POLE, POLD1	DNA (SNV)			
Colorectal Cancer	M1.6	NTRK1/2/3	RNA (FUSION)			
Non Small Cell Lung Cancer	M4.1	ALK, BRAF, EGFR, KRAS, MET	DNA (SNV)			
Non Small Cell Lung Cancer	M4.2	ALK, ROS1, RET, MET (Ex14 skipping), NTRK1/2/3,	RNA (FUSION)			
Melanoma	M7.1	BRAF, KIT, NRAS	DNA (SNV)			
Melanoma	M7.3	NTRK1/2/3	RNA (FUSION)			
Gastrointestinal Stromal Tumour	M8.1	KIT, PDGFRA, BRAF	DNA (SNV)			
Gastrointestinal Stromal Tumour	M8.2	NTRK1/2/3	RNA (FUSION)			
Glioma	Specify	IDH1/2, BRAF, CDKN2A, EGFR, TP53, ATRX, TERT, VHL, YAP1	DNA (SNV, CNA)			
Glioma	Specify	BRAF, MYC, EGFRvIII, NTRK1/2/3	RNA (FUSION)			
Thyroid Cancer	Specify	BRAF, KRAS, NRAS, HRAS, RET	DNA (SNV)			
Thyroid Cancer	Specify	RET	RNA (FUSION)			
Other DNA Indication	Specify	Specify if known	DNA (SNV)			
Other RNA Indication	Specify	Specify if known	RNA (FUSION)			



FISH (tick required box)						
CLINICAL INDICATION	GENES		GENES			
Neuroblastoma	MYCN, TOP2A, 11q22,3 (ATM), 1p36	Inflammatory Myofibroblastic Tumour	ALK			
Ewing's Sarcoma	EWSR1	Angiosarcoma	MYC			
Rhabdomyosarcoma	FOX01, PAX3, PAX7	Oligodendroglioma	1p36, 19q13			
Dermatofibrosarcoma Protuberans	PDGFB	Medulloblastoma	MYC, MYCN			
Synovial Sarcoma	SS18	Gender Identification	CEP X/Y			
Infantile Fibrosarcoma	ETV6	Non Small Cell Lung Cancer	ALK, ROS1			
Liposarcoma / Osteosarcoma	MDM2	Renal Cell Carcinoma	TFE3			
Alveolar Soft Part Sarcoma	TFE3	Mammary Analogue Secretory Carcinoma of Salivary	ETV6			

OTHER ASSAYS (tick required box)

ASSAY	
Microsatellite Instability	Specify if known
MGMT Promoter Methylation	Specify if known
MLH1 Promoter Methylation	Specify if known
Tissue Identity Testing (STR Genotyping)	Specify if known



INSTRUCTION FOR SENDING SAMPLES

Please send the following to the address below:

- Completed request form
- Copy of pathology report
- Appropriate tissue specimens (see below)

Address:

Cambridge Genomics Laboratory East Genomics Laboratory Hub, BOX 143 Cambridge University Hospitals Foundation Trust Cambridge, CB2 0QQ TEL: (01223) 348 866

EMAIL: <u>cuh.eastglh-cancer@nhs.net</u>

INSTRUCTION FOR PATHOLOGISTS (Please refer to page 3 for guidance and tumour assessment)

1) Please mark tumour area on H&E slide

- 2) Please assess tumour percentage
- Number of tumour cells / total number of nucleated cells—NOT AREA ASSESSMENT
- Please provide % tumour cells in entire section or % tumour cells in marked area

Notes:

- a. If there are small groups of non-confluent / dispersed cells, dot or circle tumour groups/cells but do not assess % of tumour cells in the marked area.
- b. NGS analyses: >30% tumour required in marked area / entire section
- c. Methylation Analyses: MGMT >50%, MLH1 >30% tumour required in the marked area / entire section
- d. MSI analysis requires area of normal in addition to tumour for non-colorectal cancer referrals. This can be normal tissue on the same slide, or normal tissue from a different block from the same case



SPECIMEN REQUIREMENTS

Please send slides only (tissue blocks will be rejected):

FISH

 Please send two 2uM sections on individual charged slides for each probe requested plus one H&E stained slide.

NGS | Methylation | MSI | Tissue Identity*

• Please send eight 4uM sections plus two H&E stained (first and last slide).

*A reference sample, preferably peripheral blood, is required for comparison





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Todays Challenges and Barriers for NGS Implementation in a Broader Lab Spectrum





Oncomine Precision Assay on Ion Torrent Genexus System

A new generation solution for genomic profiling





Genexus System—Tomorrow's Specimen-to-Report NGS Workflow

and quantitation*	Library preparation to Report*
Ion Torrent [™] Genexus [™] Purification System (Available 2020)	Ion Torrent [™] Genexus [™] Integrated Sequencer (Available November 2019)
	Ion Torrent [™] GX5 [™] Chip: 12–15M reads/lane
2 hour turnaround time	

- Frozen tissue
- Bone marrow
- Whole blood
- PBL
- Urine
- Saliva

Oncomine Precision Assay Gene Content

	DNA hotspot	S	CNV	Inter-ç fus	genetic ions	Intra-genetic fusions
AKT1	ESR1	MAP2K2	ALK	ALK	NTRK2	AR
AKT2	FGFR1	MET	AR	BRAF	NTRK3	BRAF
AKT3	FGFR2	MTOR	CD274	ESR1	NUTM1	EGFR
ALK	FGFR3	NRAS	CDKN2A	FGFR1	RET	MET
AR	FGFR4	NTRK1	EGFR	FGFR2	ROS1	
ARAF	FLT3	NTRK2	ERBB2	FGFR3	RSPO2	
BRAF	GNA11	NTRK3	ERBB3	MET	RSP03	
CDK4	GNAQ	PDGFRA	FGFR1	NRG1		
CDKN2A	GNAS	PIK3CA	FGFR2	NTRK1		
CHEK2	HRAS	PTEN	FGFR3			
CTNNB1	IDH1	RAF1	KRAS			
EGFR	IDH2	RET	MET			
ERBB2	KIT	ROS1	PIK3CA			
ERBB3	KRAS	SMO	PTEN			
ERBB4	MAP2K1	TP53				



SOLID CANCER REQUEST FORM V1

Molecular Diagnostics City Campus Nottingham University Hospitals Hucknall Road Nottingham NG5 1PB Molecular Diagnostics Genomics and Molecular Nottingham University SOLID CANCER GENON		and Molecular Medicine niversity Hospital NHS Trust NOMIC TEST ORDER	FORM Tel: 0115 969 1169 x77711 E-mail: nuhnt.molecular.diagnostic s@nhs.net Website: https://www.nuh.nhs.uk/ molecular-diagnostics			
PATIENT DETAILS	PATIENT DETAILS REFERRER INFORMATION					
SURNAME		REFERRING HOSPITAL				
FORENAME		REFERRER NAME				
DOB		DEPARTMENT				
NHS NO.		CONTACT E-MAIL				
HOSPITAL NO.		CONTACT PHONE				
SEX	MALE FEMALE OTHER	R REQUEST DATE				
SPECIMEN INFORMA	TION					
SPECIMEN NO		SPECIMEN TYPE				
BLOCK NO		TISSUE SITE				
DIAGNOSIS		COLLECTION DATE				
TISSUE TYPE	PRIMARY METASTASIS	BIOPSY	RESECTION CYTOLOGY			
REFERRAL TYPE	DIAGNOSTIC TREATM	MENT-REFLEX TREATM	IENT-OTHER			
% TUMOUR CELLS	20% 20-30%	30-50% 50-70	0% >70%			
CELLULARITY	VERY LOW		TE HIGH			



NGS PANEL TESTING (tick required box)				
CLINICAL INDICATION	TEST CODE [∆]	GENES SCREENED	ASSAY	
Colorectal Cancer	M1.1*	BRAF, KRAS, NRAS	DNA (SNV)	
Colorectal Cancer	M1.6	NTRK1/2/3	RNA (FUSION)	
Non Small Cell Lung Cancer	M4.1	ALK, BRAF, EGFR, KRAS, MET	DNA (SNV)	
Non Small Cell Lung Cancer	M4.2	ALK, ROS1, RET, MET (Ex14 skipping), NTRK1/2/3	RNA (FUSION)	
🗌 Melanoma	M7.1	BRAF, KIT, NRAS	DNA (SNV)	
🗌 Melanoma	M7.3	NTRK1/2/3	RNA (FUSION)	
Gastrointestinal Stromal Tumour	M8.1	KIT, PDGFRA	DNA (SNV)	
Gastrointestinal Stromal Tumour	M8.2	NTRK1/2/3	RNA (FUSION)	
Breast Cancer	M3.6	PIK3CA	DNA (SNV)	
Breast Cancer	M3.5	NTRK1/2/3	RNA (FUSION)	
🗌 Glioma	Specify*	IDH1/2, BRAF, CDKN2A, EGFR, TP53	DNA (SNV)	
🗌 Glioma	Specify*	BRAF, EGFRvIII, NTRK1/2/3	RNA (FUSION)	
Thyroid Cancer	Specify*	BRAF, KRAS, NRAS, HRAS, RET, TP53	DNA (SNV)	
Thyroid Cancer	Specify*	NTRK1/2/3, RET, ALK	RNA (FUSION)	
Other DNA Indication	Specify*	Specify from Genexus OPA Panel	DNA (SNV)	
Other RNA Indication	Specify*	Specify from Genexus OPA Panel	RNA (FUSION)	



Example NGS Report

Sample Cancer Type: Non-Small Cell Lung Cancer

Relevant Non-Small Cell Lung Cancer Findings

Gene	Finding	Gene	Finding
ALK	Not detected	NRAS	Not detected
BRAF	Not detected	NTRK1	Not detected
EGFR	Not detected	NTRK2	Not detected
ERBB2	Not detected	NTRK3	Not detected
KRAS	Not detected	RET	KIF5B-RET fusion
MET	Not detected	ROS1	Not detected

Relevant Biomarkers

Tier	Genomic Alteration	Annotations
IA	KIF5B-RET fusion kinesin family member 5B - ret proto-oncogene Locus: chr10:32317356 - chr10:43612032	
IIC	PIK3CA G1049R phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha Locus: chr3:178952090 Transcript: NM_006218.4	



University of Nottingham UK | CHINA | MALAYSIA

Thank You





Oncomine Precision Assay on Ion Torrent Genexus System

Dr Roland Leung, MB ChB (Edin), MRCP (London), DABIM (Onc)



- Consultant in the Department of Medicine, Queen Mary Hospital and Honorary Assistant Professor in Department of Medicine, The University of Hong Kong
- Medical oncologist trained in the US at New York University Medical Center and Memorial Sloan Kettering Cancer Center
- Main interests include the exploration of predictive biomarkers in the clinical treatment of cancer.
 - "With the explosion of molecular targeted therapies with specific target of action, it is imperative that we as oncologists have access to technology which can predict which patients will benefit most from these treatment and not expose patients to empirical treatment"
- Primarily focusing on breast cancer, adenocarcinoma of lung, neuroendocrine tumors and tumors with targetable genetic aberrations
 NOVARTIS | Reimagining Medicine

Precision Medicine:

Clinicians' perspective Importance of genomic testing in targeted therapy and personalized therapy

DR ROLAND LEUNG MRCP (UK), DIP ABIM (MED ONC)

CONSULTANT, DEPARTMENT OF MEDICINE,

QUEEN MARY HOSPITAL
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All drugs are developed with a specific target in mind

With advances in molecular science, drugs can be designed with high specificity

Targeted therapy is not new

Breast cancer had the earliest forms of targeted therapy

- 1) Endocrine therapy
- 2) HER2 directed therapy

Emerging targets in breast cancer



Modified from Hanahan & Weinberg. Hallmarks of Cancer: The Next Generation, Cell 144, 4 March 2011 Elsevier Inc. 2011,144(5), 646-674 (215).

Oncogenic kinase is a good target to design rational drugs

Differential expression between normal and tumor

Most of these are gain of function mutations

Relatively easy to test with a variety of techniques

Robust wide applicability worldwide, using EGFR mutation in lung cancer as a successful example

~40% of Patients With HR+, HER2– ABC Harbor a Mutation in PIK3CA in Their Tumors and Face a Poor Prognosis

PIK3CA is the gene that encodes the α-isoform of the catalytic subunit (p110α) of PI3K³



PIK3CA Mutations Are Associated With Shorter PFS and OS^{4,5}



PI3K signaling regulates diverse cellular functions including cell proliferation, survival, glucose metabolism, cell migration, and angiogenesis, and is often deregulated in cancers^{6,7}

Patients from the SAFIR-02 study with *PIK3CA*-mutated ABC had 44% higher risk of death than patients without the mutation when treated with chemotherapy (HR multivariate: 1.44; 95% CI, 1.02-2.03; *P*=0.04)⁵

aln this systematic literature review, patients treated with PI3K-targeted therapies were excluded; allowed treatment included endocrine therapy, non-PI3K targeted therapy, and other treatment.

1. Cancer Genome Atlas Network. Nature. 2012;490(7418):61-70; 2. Fritsch C, et al. AACR 2018. Abstract 3934 (poster); 3. Rajadurai P, et al. SABCS 2021. Abstract P5-13-25 (poster); 4. Fillbrunn M, et al. ASCO 2020. Poster 154; 5. Mosele F, et al. Ann Oncol. 2020;31(3):377-386; 6. Hennessy BT, et al. Nat Rev Drug Discov. 2005;4(12):988-1004; 7. Samuels Y. Cell Cycle. 2004;3(10):1221-1224.

SOLAR-1: A Pivotal Phase III Trial Evaluating Alpelisib + Fulvestrant in Patients With *PIK3CA*-mutated HR+, HER2– ABC^{1,2}



Primary endpoint

 PFS in *PIK3CA*-mutant cohort (locally assessed)

Secondary endpoints include

- OS (PIK3CA-mutant cohort)
- PFS (PIK3CA-non-mutant cohort)
- PFS (PIK3CA-mutation in ctDNA)
- OS (*PIK3CA*-non-mutant cohort)
- ORR/CBR
- · Safety
- PROs

^aFulvestrant given on Day 1 and Day 15 of the first 28-day cycle, then Day 1 of subsequent 28-day cycles. 1. André F, et al. N Engl J Med. 2019;380(20):1929-1940; 2. André F, et al. ESMO 2018. Abstract LBA3 (oral).

SOLAR-1: Alpelisib Significantly Prolonged PFS for Patients in the *PIK3CA*-mutant Cohort¹⁻³

 SOLAR-1 met its primary endpoint; a statistically significant and clinically meaningful prolongation of PFS was observed with the addition of alpelisib to fulvestrant in patients with PIK3CA-mutant disease, but was not observed in those without PIK3CA mutations^{1,2}

Censoring times — Alpelisib + fulvestrant — Placebo + fulvestrant

PFS in the PIK3CA-Mutant Cohort¹

Alpelisib + Placebo + Alpelisib + Placebo + 1.00 1.00 fulvestrant fulvestrant fulvestrant fulvestrant (n=115) (n=116) (n=169) (n=172) mPFS, mo 7.4 5.6 Event-free probability probability mPFS, mo 11.0 5.7 0.85 (0.58-1.25) HR (95% CI)).75-0.75-HR (95% CI) 0.65 (0.50-0.85) 0.00065 P value 0.50 Event-free 0.50-0.25 0.25 0.00 0.00 20 22 24 26 28 10 12 16 18 2 9 0 2 10 14 30 32 Ω 11 12 Time, months Time, months No. at Risk No. at Risk Alpelisib + fulvestrant 169 145 123 62 50 39 30 Alpelisib + fulvestrant 115 110 76 48 31 29 14 12 72 30 20 Placebo + fulvestrant 172 120 89 67 58 37 29 20 Placebo + fulvestrant 110 79 43 42 31 20

1. André F, et al. N Engl J Med. 2019;380(20):1929-1940. Figures reprinted from André F, et al. Alpelisib for PI/K3CA-mutated, hormone receptor-positive advanced breast cancer. N Engl J Med. 2019;380(20):1929-1940. Copyright © 2019 Massachusetts Medical Society. Reproduced with permission from the Massachusetts Medical Society; 2. André F, et al. ESMO 2018. Abstract LBA3 (oral); 3. André F, et al. Ann Oncol 2021;32(2):208-217.

PFS in the PIK3CA-Non-Mutant Cohort¹

SOLAR-1: Overall Response Rate and Clinical Benefit Rate in *PIK3CA*mutant Cohort^{1,2}

Responses in patients with measurable disease at baseline

			100
	Alpelisib + fulvestrant (n=169)	Placebo + fulvestrant (n=172)	90
No. of patients with measurable disease	126	136	<u>ू</u> 80
Confirmed best overall response, n (%)			₽70
Complete response	1 (0.8)	2 (1.5)	La
Partial response	44 (34.9)	20 (14.7)	<u>8</u> 60
Stable disease	58 (46.0)	63 (46.3)	850
Progressive disease	13 (10.3)	45 (33.1)	es o
Unknown status	10 (7.9)	6 (4.4)	≣ 40
Overall response ^b			Nc.et
No. of patients	45	22	030
Percentage of patients (95% CI)	35.7 (27.4-44.7)	16.2 (10.4-23.5)	20
Clinical benefit ^c			40
No. of patients	72	60	10
Percentage of patients (95%CI)	57.1 (48.0-65.9)	44.1 (35.6-52.9)	٥

ORR in patients with measurable disease at baseline²



In the overall patient population,

- ORR was 26.6% (95%Cl, 20.1-34.0) in the alpelisib group versus 12.8% (95%Cl, 8.2-18.7) in the placebo group
- CBR was 61.5% in the alpelisib group (95%CI, 53.8-68.9) versus 45.3% in the placebo group (95%CI, 37.8-53.1)

CBR, clinical benefit rate; CI, confidence interval; CR, complete response; ORR, overall response rate; *PIK3CA*, phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha; PR, partial response; RECIST, Response Evaluation Criteria in Solid Tumors; SD, stable disease.

^aMeasurable disease defined as having ≥1 measurable lesion as per RECIST 1.1 criteria.

^bOverall response was defined as a complete or partial response.

Clinical benefit in patients with measurable disease at baseline was defined as a CR or PR or as SD lasting at least 24 weeks.

1. André F, et al. N Engl J Med. 2019;380:1929-1940; 2. Reprinted from André F, et al. ESMO 2018. Abstract LBA3 (oral).

Alpelisib Is the First α -Selective PI3K Inhibitor and Degrader Approved in HR+, HER2-, *PIK3CA*-mutated ABC Based on SOLAR-1¹⁻⁴

- Alpelisib + fulvestrant is a preferred second-line treatment option by international guidelines for patients with HR+, HER2–, *PIK3CA*-mutated ABC⁵⁻⁸
- Study met its primary objective; mPFS was 11.0 mo vs 5.7 mo in patients treated with alpelisib + fulvestrant vs placebo + fulvestrant (HR 0.65; 95% CI, 0.50-0.85; P=0.00065), respectively²
- Overall response and clinical benefit were also improved in the alpelisib vs placebo arms of the PIK3CA-mutant cohort²
 - ORR: 26.6% vs 12.8%; CBR: 61.5% vs 45.3%

PIK3CA-mutant

PIK3CA-nonmutant - ORR: 35.7% vs 16.2%; CBR: 57.1% vs 44.1% (with measurable disease at baseline)

 The secondary endpoint and proof-of-concept criteria for PFS in the *PIK3CA*-non-mutant cohort were not met (mPFS 7.4 mo for alpelisib arm vs 5.6 mo for placebo arm, HR 0.85; *P*=0.21)^{2,9}

1. Drullinsky PR, et al. Breast Cancer Res Treat. 2020;181(2):233-248; 2. André F, et al. N Engl J Med. 2019;380(20):1929-1940; 3. Fritsch C, et al. AACR 2018. Abstract 3934 (poster); 4. FDA approves alpelisib for metastatic breast cancer. https://www.fda.gov/drugs/resources-information-approved-drugs/fda-approves-alpelisib-metastatic-breast-cancer. Accessed August 11, 2021; 5. Cardoso F, et al. Ann Oncol. 2020;31(12):1623-1649; 6. NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®) for Breast Cancer V8.2021. © National Comprehensive Cancer Network, Inc. 2021. All rights reserved. Accessed September 17, 2021; 7. Burstein HJ, et al. J Clin Oncol. 2021;JCO2101392; 8. Gennari A, et al. Ann Oncol. 2021;32(12):1475-1495; 9. André F, et al. ESMO 2018. Abstract LBA3 (oral).

PIK3CA Mutation Testing Can Identify Patients Who Are Likely to Benefit From Alpelisib¹

International expert guidelines encourage biopsy at first metastasis and, when feasible, at the time of disease recurrence²⁻⁴

ABC5 ²	 Biopsy (preferably providing histology) of a metastatic lesion should be performed, if easily accessible, to confirm diagnosis, particularly when metastasis is diagnosed for the first time Biologic markers (especially HR and HER2) should be reassessed at least once in the metastatic setting if clinically feasible
NCCN ³	 First recurrence of disease should be biopsied Assess for <i>PIK3CA</i> mutation if HR+, HER2– and if considering therapy with alpelisib for stage IV recurrent or initially metastatic disease
ASCO ⁴	 A biopsy is recommended to determine or confirm whether a suspicious lesion represents metastatic disease Markers should be obtained Every attempt should be made to test the most recent tumor tissue sample for <i>PIK3CA</i> mutation
ESMO ^{1,5,} 6	 Patients with newly diagnosed or recurrent MBC should have a biopsy, if technically feasible, to confirm histology and to re-assess ER, PgR, and HER2 status Other therapeutically relevant biomarkers to be assessed as part of routine clinical practice include <i>PIK3CA</i> in ER/PgR-positive, HER2-negative MBC <i>PIK3CA</i> mutations are a clinically validated biomarker that predict efficacy of alpelisib (ESCAT level IA)

BYLieve: Study Design

Phase II, open-label, 3-cohort, noncomparative study to assess the efficacy and safety of alpelisib + ET (fulvestrant or letrozole) in patients with *PIK3CA*-mutated, HR+, HER2–ABC whose disease progressed on/after prior treatments

- Men or pre/postmenopausal^a women with HR+, HER2–, PIK3CA-mutated ABC
- PIK3CA mutation in tumor tissue or blood^b
- Last line of prior therapy: CDK4/6i + ET, systemic chemotherapy, or ET
- ECOG PS ≤2
- Measurable disease (per RECIST v1.1) or ≥1 predominantly lytic bone lesion (N=336)^c

┶╸

COHORT A (n=112)^c Patients who received CDK4/6i + AI as immediate prior treatment Alpelisib 300 mg PO QD + fulvestrant 500 mg^d COHORT B (n=112)^c Patients who received CDK4/6i + fulvestrant as immediate prior

treatment

Alpelisib 300 mg PO QD + letrozole 2.5 mg PO QD

COHORT C (n=112)^c

Patients whose disease has progressed on/after AI and received chemotherapy or ET as immediate prior treatment

Alpelisib 300 mg PO QD + fulvestrant 500 mg^d

Treatment crossover between cohorts not permitted

Primary endpoint

 Proportion of patients alive without PD at 6 months (RECIST v1.1) in each cohort

Secondary endpoints

- PFS
- PFS2
- ORR, CBR, DOR
- OS
- Safety

Exploratory endpoint

• Biomarker analyses

^aMen (Cohort B only) and premenopausal women were allowed goserelin 3.6 mg SC every 28 days or leuprolide 7.5 mg IM every 28 days for adequate gonadal suppression; ^bPatients were enrolled and could stay on study based on confirmed *PIK3CA* mutation status from either tissue or blood by a certified local laboratory. Only patients with centrally confirmed *PIK3CA* mutation by a Novartis-designated laboratory were included in the mFAS; ^cEnrollment continued until 336 patients with a centrally confirmed *PIK3CA* mutation was reached (at least 112 patients in each cohort); ^dIM on D1 and D15 of Cycle 1 and D1 for all other cycles thereafter. Rugo HS, et al. *Lancet Oncol.* 2021;22(4):489-498.

BYLieve Cohort A: Next-Line Alpelisib + Fulvestrant Demonstrated Efficacy at the 18-month Follow-up in Patients With Prior CDK4/6i + Al¹

 Cohort A comprised patients who received alpelisib + fulvestrant after a CDK4/6i with AI as immediate prior treatment; the primary analysis for this cohort was completed at 6 months, at which efficacy was demonstrated²



PFS in Cohort A at 18-mo Follow-up¹

OS in Cohort A at 18-mo Follow-up¹

1. Ciruelos EM, et al. SABCS 2021. Abstract P1-18-03 (poster); 2. Rugo HS, et al. Lancet Oncol. 2021;22(4):489-498.

SOLAR-1 and BYLieve: Alpelisib Treatment Resulted in Tumor Shrinkage Regardless of ET Partner or Prior Therapy^{1-4,a}



^aPer local radiology review. Patients for whom the best percentage change in target lesions was not available and patients for whom the best percentage change in target lesion was contradicted by overall lesion response = unknown were excluded from the analysis. Percentage above used n as denominator. Only patients with measurable disease at baseline were presented.

With the approval of alpelisib in PIK3CA mutated ABC

1) What to test

PIK3CA Mutation Testing Can Be Performed by PCR or NGS

Frequency of mutations,

- Both PCR-based testing and NGS can detect mutations in *PIK3CA*¹
- NGS can detect any DNA alteration within a target region, whereas PCR is limited to detecting specific mutations by design²
- The majority of all *PIK3CA* mutations observed in HR+, HER2– ABC occur in exons 9 (helical domain) and 20 (kinase domain)³
- 11 hotspot mutations in exons 7, 9, and 20 detected by PCR were used to assess *PIK3CA* mutation status in SOLAR-1 and retrospective NGS analyses were consistent with PCR findings^{4,a}

Frequency of *PIK3CA* Mutations in SOLAR-1 Detected in FFPE Tumor Specimens by PCR or NGS^{4,b-d}



^aPIK3CA mutations detectable by PCR-based assay: C420R in exon 7; E524K, E545A/D/G/K, Q546E/R in exon 9; H1047L/R/on 20.⁴ The clinical significance of rarer PIK3CA mutations, including those in exons 1, 4, 5, 7, 10, and 18, is not yet known.⁵

^bFigure derived from PCR and NGS data and calculated as [sum of specific mutation(s) / all mutations (for PCR) or alterations (for NGS)] × 100. ^CThe Novartis clinical trial assay did not differentiate all mutations and reported E545X for E545A/D/G/K mutations, Q546X for Q546E/K/R mutations, and H1047X for H1047L/R/Y mutations. ^dOf the 295 alterations detected by retrospective NGS testing, 83 (28.1%) alterations were not detectable by PCR-based testing.

1. Arsenic R, et al. *BMC Clin Pathol*. 2015;15:20; 2. My Cancer Genome. <u>https://www.mycancergenome.org/content/molecular-medicine/types-of-molecular-tumor-testing/</u>. Accessed July 21, 2019; 3. Ishida N, et al. 2018;9(25):17711-17724; 4. Rugo HS, et al. AACR 2019. Abstract CT142 (poster); 5. Gymnopoulos M, et al. *Proc Natl Acad Sci U S A*. 2007;104(13):5569-5574.

Planned Exploratory Biomarker Analysis With SOLAR-1 Baseline Tumor Samples



- Clinical benefit was assessed using progression-free survival (PFS) and hazard ratio (HR)
- HR (95% CI) was estimated using a multivariate Cox PH model by adjusting multiple clinical covariates including age, ECOG PS, bone lesion, lung/liver metastases, and prior CDK4/6 inhibitor treatment
- No multiple testing adjustments were made in this subgroup analysis

PRESENTED BY:

MD

Dejan Juric.

^aAltered includes both PIK3CA mutations and amplifications.

#ASC022

CDK4/6, cyclin-dependent kinase4/6; CDx, companion diagnostic; ECOG PS, Eastern Cooperative Oncology Group performance status; FFPE, formalin-fixed, paraffin-embedded; HR, hazard ratio; ITT, intention-to-treat; NGS, next-generation sequencing; PCR, polymerase chain reaction; PFS, progression-free survival; *PIK3CA*, phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha; RGQ, Rotor-Gene Q. 1. André F, et al. *N Engl J Med*. 2019;380(20):1929-1940.

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Genes Are Differentially Altered in *PIK3CA*-Altered and *PIK3CA*-Wild-type Biomarker Cohorts





NGS sequencing of baseline tumor samples from patients randomized in SOLAR-1 including both the *PIK3CA*-altered and *PIK3CA*-wild-type cohorts

FUL, fulvestrant; NGS, next-generation sequencing; PIK3CA, phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha; SV, sequence variant.



#ASCO22 PRESENTED BY: Dejan Juric, MD



Differences in Alteration Frequency Between *PIK3CA*-Altered and *PIK3CA*-Wild-type Biomarker Cohorts



 Includes 35 genes with >2% gene alteration change between PIK3CA-altered and PIK3CA-wild-type cohorts

PIK3CA, phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha.



#ASCO22 PRESENTED BY: Dejan Juric, MD



Efficacy of Alpelisib + FUL in Patients With Altered *PIK3CA* Is Consistent in SOLAR-1 ITT and Biomarker Cohorts



- Biomarker *PIK3CA*-altered cohort includes 70% of the ITT *PIK3CA*-cohort
- PIK3CA alterations were detected by PCR in the ITT cohort and NGS in the Biomarker cohort

		Placebo + FUL	Alpelisib + FUL		
Cohort	n/N	mPFS, mo (95% Cl)	n/N	mPFS, mo (95% Cl)	HR (95% CI)
ITT PIK3CA-Altered	149/172	5.7 (3.7-7.4)	124/169	11.0 (7.5-14.5)	0.59 (0.43-0.81)
Biomarker PIK3CA-Altered	101/117	5.6 (3.6-7.4)	90/120	11.0 (8.3-15.2)	0.56 (0.42-0.76)

PRESENTED BY:

MD

Dejan Juric,

FUL, fulvestrant; HR, hazard ratio; ITT, intention-to-treat; mPFS, median progression-free survival; n, number of events; N, number of patients; PIK3CA, phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha.





What to test? Tumor Tissue or ctDNA Can Be Used to Test for *PIK3CA* Mutations

 Treatment guidelines recommend testing for *PIK3CA* mutations in tissue (metastasis or primary) and/or ctDNA in blood for the selection of patients with HR+, HER2– ABC who are eligible for alpelisib¹⁻³



Based on Merker JD, et al. Arch Pathol Lab Med. 2018;142(10):1242-1253.

SOLAR-1: Alpelisib Demonstrated Clinical Benefit in Patients With *PIK3CA* Mutations Detected in Plasma ctDNA^{1,2}

Patients with high levels of *PIK3CA* mutation detectable in plasma ctDNA have worse prognosis and poor survival compared with patients with low or no detectable *PIK3CA* mutation^{3,4}



Progression-Free Survival¹

^aDate of censoring is defined as the last contact date for OS.

1. Juric D, et al. SABCS 2018. Abstract GS3-08 (oral); 2. André F, et al. ESMO 2020. Abstract LBA18 (oral); 3. Dumbrava EE, et al. ESMO Open. 2021;6(5):100230; 4. Cullinane C, et al. JAMA Netw Open. 2020;3(11):e2026921.

Overall Survival²

The *PIK3CA* Registry Confirms *PIK3CA* Mutation^a Prevalence in a Real-World HR+, HER2– ABC Population Across Several Geographical Regions¹

Expert guidelines recommend testing for *PIK3CA* mutations at advanced diagnosis; however, data on *PIK3CA* mutation prevalence in a broader population outside of clinical trials are limited

This noninterventional, retrospective cohort study enrolled approximately 2000 adult patients in 29 countries across 4 regions, with histologically and/or cytologically confirmed diagnosis of HR+, HER2– breast cancer by a local laboratory



PIK3CA Mutation Frequency Overall and by Geographic Region¹

PIK3CA mutation rates were consistent across regions and similar in range whether tested on primary or metastatic tumors¹

^aFor this study, *PIK3CA* mutations include the 11 hoptspot mutations as studied in SOLAR-1: C420R, E542K, E545A/D/G/K, Q546E/R, H1047L/R/Y.²

PIK3CA-activating Mutations Were Detected in 35% of Patients With HR+, HER2– ABC in a US Real-World Study¹

Among the 31,768 BC tissue biopsies:

11,204 (35%) had a PIK3CA mutation

8750 (28%) had mutations that were observed in SOLAR-1 (**SOLAR1m**), 1146 of which also had ≥1 other additional *PIK3CA* mutation (**OTHERm**)

In addition, 2119 (6.7%) patients had ≥1 **OTHERm** without any SOLAR1m



Prevalence of SOLAR1m and OTHERm in breast cancer¹

PIK3CA variants detected among 31,758 (11,204 PIK3CA-altered) BC tissue biopsies:

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What to test
 Who to treat

Patients Whose Tumors Had Mutations in 11 Hotspots Were Included in the SOLAR-1 Mutant Cohort $^{\rm 1,2}$

The 11 hotspots included in SOLAR-1, **C420R, E542K, E545A/D/G/K, Q546E/R, H1047L/R/Y**, shown in white in the table, detected by the therascreen *PIK3CA* 11-mutation assay in tumor tissue,^{1,2} represent >80% of all patients with a known *PIK3CA* mutation^{3,4}

 PIK3CA mutations outside the hotspots detected by the Qiagen therascreen PIK3CA PCR kit are shown in light blue

Type of PIK3CA mutation	Exon	Oncogenic by OncoKB ⁵	Level of evidence to predict alpelisib benefit	Detected by therascreen	Number of mutations found in the combined dataset	Mutation frequency, %
H1047R	20	Yes	1	Yes	895	35.0
E545K	9	Yes	1	Yes	447	17.5
E542K	9	Yes	1	Yes	274	10.7
N345K	4	Yes	Yes (preclinical only)	No	142	5.5
H1047L	20	Yes	1	Yes	103	4.0
Е726К	13	Inconclusive. Probably oncogenic	Unknown	No	65	2.5
C420R	7	Yes	1	Yes	48	1.9
Q546R	9	Yes	1	Yes	27	1.1
G118D	1	Yes	Unknown	No	26	1.0
E453K	7	Yes	Unknown	No	22	0.9
Q546K	1	Yes	Yes (preclinical only)	No	21	0.8
G1049R	20	Yes	Yes (preclinical only)	No	19	0.7
M1043I	20	Yes	Unknown	No	19	0.7
K111E	1	Yes	Unknown	No	16	0.6
E81K	1	Inconclusive. Probably oncogenic	Unknown	No	15	0.6
E545A	9	Yes	1	Yes	13	0.5
E545G	9	Yes	1	Yes	13	0.5
N1044K	20	Yes	Unknown	No	12	0.5
E110del	1	Yes	Unknown	No	11	0.4
0546P	9	Yes	Unknown	No	10	0.4

The 20 Most Frequent PIK3CA Mutations in BC⁴

André F, et al. N Engl J Med. 2019;380(20):1929-1940; 2. Rugo HS, et al. AACR 2019. Abstract CT142 (poster);
 Vorkas FA, et al. J Mol Digan. 2010;12(5):697-704; 4. Martinez-Sáez O, et al. Breast Concer Res. 2020;22(1):45; 5. Dogruluk T, et al. Cancer Res. 2015;75(24):5341-5354

There Are 5 *PIK3CA* Mutations With a Prevalence ≥4% in Patients With Breast Cancer

Proportion of the 18 most frequent PIK3CA mutations in PIK3CA-mut BC in the combined dataset¹



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What to test
 Who to treat

3) When to test

PIK3CA Mutation Testing Can Identify Patients Who Are Likely to Benefit From Alpelisib¹

International expert guidelines encourage biopsy at first metastasis and, when feasible, at the time of disease recurrence²⁻⁴

ABC5 ²	 Biopsy (preferably providing histology) of a metastatic lesion should be performed, if easily accessible, to confirm diagnosis, particularly when metastasis is diagnosed for the first time Biologic markers (especially HR and HER2) should be reassessed at least once in the metastatic setting if clinically feasible
NCCN ³	 First recurrence of disease should be biopsied Assess for <i>PIK3CA</i> mutation if HR+, HER2– and if considering therapy with alpelisib for stage IV recurrent or initially metastatic disease
ASCO ⁴	 A biopsy is recommended to determine or confirm whether a suspicious lesion represents metastatic disease Markers should be obtained Every attempt should be made to test the most recent tumor tissue sample for <i>PIK3CA</i> mutation
ESMO ^{1,5,} 6	 Patients with newly diagnosed or recurrent MBC should have a biopsy, if technically feasible, to confirm histology and to re-assess ER, PgR, and HER2 status Other therapeutically relevant biomarkers to be assessed as part of routine clinical practice include <i>PIK3CA</i> in ER/PgR-positive, HER2-negative MBC <i>PIK3CA</i> mutations are a clinically validated biomarker that predict efficacy of alpelisib (ESCAT level IA)

1. Mosele F, et al. Ann Oncol. 2020;31(11):1491-1505; 2. Cardoso F, et al. Ann Oncol. 2020;31(12):1623-1649; 3. NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines*) for Breast Cancer V8.2021. © National Comprehensive Cancer Network, Inc. 2021. All rights reserved. Accessed September 17, 2021; 4. Rugo HS, et al. J Clin Oncol. 2016;34(25):3069-3103; 5. Gennari A, et al. Ann Oncol. 2021;32(12):1475-1495; 6. Mateo J, et al. Ann Oncol. 2018;29(9):1895-1902.

Thank you

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Panel Discussion Clinical Case Consideration

- 51-year-old woman underwent a left modified radical mastectomy
 - The mass was LN 7/41, pT2 (4.5 cm), N2aM0
 - ER positive (70%); PgR (5-10%); HER2 IHC (2+), FISH negative
- A biopsy of the mass was performed, revealing a grade 3 invasive ductal carcinoma
- She received anthracycline and taxane adjuvant chemotherapy followed by adjuvant radiotherapy
- She then received tamoxifen (5 years' duration) followed by letrozole
- One year later she experiences persistent cough and exertional dyspnea
- She is diagnosed with metastatic carcinoma

Which biomarkers should be tested for and when?

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Panel Discussion

 Considering the prognostic value of PIK3CA mutation for patients in breast cancer, should we be testing for it upon initial diagnosis rather than just for treatment decision in advanced setting?

Panel Discussion

 Breast cancer is highly heterogeneous, how can we better characterize the genomic profile or incorporate genetic testing into routine clinical practice for better patient outcomes?

Panel Discussion

• How can oncologists and pathologists work together to improve patient outcomes? マメリマメリアメリアメ ארכארכארכאריארי ארכארכארכארי ארכארכארכארי ערכארכארכארי אורצורצורצורצורצור ורצורצורצורצורצו ערציורציורציורצי אירצוורצוורציורציי אראוראוראוראוראור אראוראוראוראוראו אראוראוראוראוראוראו ערציורציורציורציורצי אירציורציורציורציורצי אורצורצורצורצורצו אורצורצורצורצורצו אורכדורכדורכדור הכדורכדורכדור כדרכדורכדורכדור וראוראוראוראו ארלארלארלארלארלא ארלארלארלא ארלארלאנלא ארלארלארלארלא 71177117711771177117

Thank you

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Novartis® offers PIK3CA Mutation Test Support for HR+/HER2- advanced breast cancer

HKBCF program



HKMPDC program

TIS Reimagining Medicine

HKBCF x Novartis: Gene Testing Financial Assistance Program



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